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9 Attorney for Defendant:  
10 **EMMANUEL BAUTISTA**

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA  
(HON. JANIS L. SAMMARTINO)**

21 UNITED STATES OF AMERICA ) CASE NO. 08CR2431-JLS  
22 )  
23 Plaintiff, ) NOTICE OF MOTION AND MOTION  
24 ) TO COMPEL DISCOVERY  
25 v. )  
26 )  
27 EMMANUEL BAUTISTA, ) Date: August 29, 2008  
28 ) Time: 2:00 p.m.  
29 Defendant )  
30 \_\_\_\_\_)

32 TO: KAREN P. HEWITT, UNITED STATES ATTORNEY, AND  
33 DAVID D. LESHNER, ASSISTANT UNITED STATES ATTORNEY:

35 PLEASE TAKE NOTICE that on Monday, August 29, 2008 at 2:00 p.m., or as soon  
36 thereafter as counsel may be heard, the defendant, EMMANUEL BAUTISTA, by and through  
37 counsel, Stephen W. Peterson will ask this Court to issue an order granting the motion listed  
38 below.

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## MOTIONS

The defendant, EMMANUEL BAUTISTA, by and through counsel, Stephen W. Peterson, asks this Court pursuant to the Fifth and Sixth Amendments to the United States Constitution, Federal Rules of Criminal Procedure 12, 14, 16 and 26, and all other applicable statutes and local rules for an order to Compel Discovery.

6 These motions are based upon the instant motions and notice of motions, the attached  
7 statement of facts and memorandum of points and authorities, the files and records in the above-  
8 captioned matter, and any and all other materials that may come to this Court's attention prior to  
9 or during the hearing on these motions.

10

Respectfully submitted,

12

/s/ Stephen W. Peterson

13 | Dated: August 13, 2008

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STEPHEN W. PETERSON  
Attorney for Defendant  
EMMANUEL BAUTISTA

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1   **Stephen W. Peterson**  
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9 Attorney for Defendant:  
10 **Emmanuel Bautista**

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA  
(HON. JANIS L. SAMMARTINO)**

21 UNITED STATES OF AMERICA ) CASE NO. 08CR2431-JLS  
22 )  
23 Plaintiff, ) STATEMENT OF FACTS AND  
24 ) MEMORANDUM OF POINTS AND  
25 v. ) AUTHORITIES IN SUPPORT OF  
26 ) DEFENDANT'S MOTION TO COMPEL  
27 ) DISCOVERY  
28 )  
29 EMMANUEL BAUTISTA, ) Date: August 29, 2008  
30 ) Time: 2:00 p.m.  
31 Defendant )  
32 )

I.

## **STATEMENT OF FACTS**

40 On July 23, 2008, the federal grand jury in the district returned a true bill of indictment  
41 charging defendant Emmanuel Bautista and four others with violation of the Controlled  
42 Substance Act. Specifically, Emmanuel Bautista is charged in one count with conspiracy to

1 possess with intent to distribute heroin in violation of Title 21 United States Code, Sections 846,  
2 841 (a)(1) and 841(b)(1). A forfeiture count of alleged drug proceeds is also charged.

3 Counsel has received to date fourteen pages of discovery along with three CD's/DVD's  
4 of photographs and a video of a portion of an alleged drug transaction.

5  
6 **II.**

7 **MOTION TO COMPEL DISCOVERY**

8 **ARGUMENT**

9 **THE MOTION TO COMPEL DISCOVERY SHOULD BE GRANTED.**

10 Emmanuel Bautista moves for the production by the government of the following items.  
11 This request is not limited to those items that the prosecutor knows of, but rather includes all  
12 discovery listed below that is in the custody, control, care, or knowledge of any "closely related  
13 investigative [or other] agencies " under United States v. Bryan, 868 F.2d 1032 (9<sup>th</sup> Cir.) cert.  
14 denied, 493 U.S. 858 (1989):

15 (1) The Defendant's Statements. Under Fed. R. Crim. P. 16 (a)(1)(A) the defendant  
16 is entitled to disclosure of all copies of any written or recorded statements made by the  
17 defendant; the substance of any statements made by the defendant which the government  
18 intends to offer in evidence at trial; any recorded testimony of the defendant before the grand  
19 jury; any audio or video tapes whether before or after the arrests; any response by the defendant  
20 to interrogation; the substance of any oral statements which the government intends to introduce  
21 at trial, and any written summaries of the defendant's oral statements contained in the  
22 handwritten notes of the government agent; any response to any Miranda warnings which may  
23 have been given to the defendant (see United States v. McElroy, 697 F.2d 459 (2d Cir. 1982));  
24 and any other statements by the defendant that are discoverable under Fed. R. Crim. P. 16

1 (a)(1)(A), including but not limited to FBI and personal history forms. United States v.  
2 Bailleaux, 685 F. 2d 1105 (9th Cir. 1982). The Advisory Committee Notes as well as the 1991  
3 amendments to Rule 16 make it clear that the Government must reveal all the defendant's  
4 statements, whether oral or written regardless of whether the Government intends to introduce  
5 those statements.

6         (2) Arrest Reports, Notes and Dispatch Tapes. The defendant also specifically requests  
7 that all arrest reports, arrest warrants, notes and dispatch tapes or any other tapes that relate to  
8 the circumstances surrounding his arrest or any questioning, if such reports have not already  
9 been produced in their entirety, be turned over to him. This request includes, but is not limited  
10 to, any rough notes, records, reports, transcripts or other documents in which statements of the  
11 defendant or any other discoverable material is contained. This is all discoverable under Fed. R.  
12 Crim. P. 16(a)(1)(A) and Brady v. Maryland, 373 U.S. 83 (1963). See also United States v.  
13 Johnson, 525 F.2d 999 (2d Cir. 1975), cert. denied, 424 U.S. 929 (1976); United States v.  
14 Lewis, 511 F. 2d 798 (D.C. Cir. 1975); United States v. Pilnick, 267 F. Supp. 791 (S.D.N.Y.  
15 1967); Loux v. United States, 389 F. 2d 911 (9<sup>th</sup> Cir.), cert. denied, 393 U.S. 867 (1968). Arrest  
16 reports, arrest warrants, investigator's notes, memos from arresting officers, dispatch tapes,  
17 sworn statements, and prosecution reports pertaining to the defendant are available under Fed.  
18 R. Crim.P. 16(a)(1)(B) and (C) Fed. R. Crim. P. 26.2 and 12(i).

19         (3)Reports of Scientific Tests or Examinations. Pursuant to Fed. R. Crim. P. 16(D), Mr.  
20 Bautista requests the reports of all tests and examinations conducted upon the evidence in this  
21 case, including but not limited to chemical analysis of any contraband and any fingerprint  
22 testing done upon any evidence seized in this case, that is within the possession, custody, or  
23 control of the government, the existence of which is known, or by the exercise of due diligence  
24 may become known, to the attorney for the government, and which are material to the

1 preparation of the defense or are intended for use by the government as evidence in chief at the  
2 trial.

3 (4) Brady Material. The defendant requests all documents, statements, agents' reports,  
4 and tangible evidence favorable to the defendant on the issue of guilt and/or which affects the  
5 credibility of the government's case. Impeachment as well as exculpatory evidence falls within  
6 Brady's definition of evidence favorable to the accused. United States v. Bagley, 473 U.S. 667  
7 (1985); United States v. Agurs, 427 U.S. 97 (1976).

8 (5) Any Information that May Result in a Lower Sentence under the United States  
9 Sentencing Guidelines (U.S.S.G.). As discussed above, this information is discoverable under  
10 Brady v. Maryland, 373 U.S. 83 (1963). This request includes any cooperation or attempted  
11 cooperation by the defendant, as well as any information that could affect any base offense level  
12 or specific offense characteristic under Chapter Two of the U.S.S.G. Also included in this  
13 request is any information relevant to a Chapter Three adjustment, a determination of the  
14 defendant's criminal history, or any other application of the U.S.S.G.

15 (6) The Defendant's Prior Record. Evidence of prior record is available under Fed. R.  
16 Crim. P. 16(a)(1)(B).

17 (7) Any Proposed 404(b) Evidence. Evidence of prior similar acts is discoverable under  
18 Fed. R. Crim.P. 16(a)(1)(C) and Fed. R. Evid. 404(b) and 609. In addition, under Fed. R. Evid.  
19 404(b), "upon request of the accused, the prosecution...shall provide reasonable notice in  
20 advance of trial... of the general nature..." of any evidence the government proposes to  
21 introduce under Fed. R. Evid. 404(b) at trial. The defendant requests that such notice be given  
22 six weeks before trial in order to give the defense time to adequately investigate and prepare for  
23 trial.

1                   (8) Evidence Seized. Evidence seized as a result of any search, either warrantless or  
2 with a warrant, is discoverable under Fed. R. Crim. P. 16(a)(1)(C).

3                   (9) Request for Preservation of Evidence. The defendant specifically requests that all  
4 dispatch tapes or any other physical evidence that may be destroyed, lost or otherwise put out of  
5 the possession, custody, or care of the government and which relate to the arrest or the events  
6 leading to the arrest in this case be preserved. This request includes, but is not limited to, any  
7 samples used to run any scientific tests, and any evidence seized from any third party. It is  
8 requested that the government be ordered to question all the agencies and individuals involved  
9 in the prosecution and investigation of this case to determine if such evidence exists, and if it  
10 does exist, to inform those parties to preserve any such evidence.

11                  (10) Tangible Objects. The defendant requests, under Fed. R. Crim. P. 16(a)(2)(C), the  
12 opportunity to inspect and copy as well as test, if necessary, all other documents and tangible  
13 objects, including photographs, books, papers, documents, photographs of buildings or places or  
14 copies of portions thereof which are material to the defense or intended for use in the  
15 government's case-in-chief, or were obtained from or belong to the defendant. Mr. Bautista  
16 specifically requests production of progress reports filed pursuant to the court's intercept orders.

17                  (11) Evidence of Bias or Motive to Lie. The defendant requests any evidence that any  
18 prospective government witness is biased or prejudiced against the defendant, or has a motive to  
19 falsify or distort his or her testimony. Pennsylvania v. Ritchie, 480 U.S. 39 (1987); United  
20 States v. Strifler, 851 F.2d 1197 (9<sup>th</sup> Cir. 1988) cert. denied, 489 U.S. 1032 (1989).

21                  (12) Expert Witnesses. Mr. Bautista requests the name and qualifications of any person  
22 that the government intends to call as an expert witness. See Fed. R. Crim. P. 16(a)(1)(E). In  
23 addition, Mr. Bautista requests written summaries describing the bases and reasons for the  
24 expert's opinions. See id. Mr. Bautista requests that the Court order the government to notify

1 the defense of its intent to introduce such testimony in a timely manner, so that a proper 104  
 2 (Kumho-Daubert) admissibility hearing can be conducted without unduly delaying the trial.

3         (13) Impeachment Evidence. The defendant requests any evidence that any prospective  
 4 government witness has engaged in any criminal act, whether or not resulting in a conviction,  
 5 and whether any witness has made a statement favorable to the defendant. See Fed. R. Evid.  
 6 608, 609 and 613. Such evidence is discoverable under Brady v. Maryland, 373 U.S. 83 (1963).  
 7 See United States v. Strifler, 851 F. 2d 1197 (9<sup>th</sup> Cir. 1988) (witness' prior record); Thomas v.  
 8 United States, 343 F.2d 49 (9<sup>th</sup> Cir. 1965)(evidence that detracts from a witness' credibility).

9         (14) Evidence of Criminal Investigation of Any Government Witness. The defendant  
 10 requests any evidence that any prospective witness is under investigation by federal, state or  
 11 local authorities for any criminal conduct. United States v. Chitty, 760 F.2d 425 (2d Cir.), cert.  
 12 denied, 474 U.S. 945 (1985).

13         (15) Evidence Affecting Perception, Recollection, Ability to Communicate, or Truth  
 14 Telling. The defense requests any evidence, including any medical or psychiatric report or  
 15 evaluation, tending to show that any prospective witness' ability to perceive, remember,  
 16 communicate, or tell the truth is impaired; and any evidence that a witness has ever used  
 17 narcotics or other controlled substance, or has ever been an alcoholic. United States v. Strifler,  
 18 851 F.2d 1197 (9<sup>th</sup> Cir. 1988); Chavis v. North Carolina, 637 F.2d 213, 224 (4<sup>th</sup> Cir. 1980).

19         (16) Witness Addresses. The defendant requests the name and last known address of  
 20 each prospective government witness. See United States v. Napue, 834 F.2d 1311 (7<sup>th</sup> Cir.  
 21 1987); United States v. Tucker, 716 F.2d 576 (9<sup>th</sup> Cir. 1983) (failure to interview government  
 22 witnesses by counsel is ineffective); United States v. Cook, 608 F.2d 1175, 1181 (9<sup>th</sup> Cir. 1979)  
 23 (defense has equal right to talk to witnesses), cert. denied, 444 U.S. 1034 (1980). The defendant  
 24 also requests the name and last known address of every witness to the crime or crimes charged

1 (or any of the overt acts committed in furtherance thereof) who will not be called as a  
 2 government witness. United States v. Cadet, 727 F.2d 1453 (9<sup>th</sup> Cir. 1984).

3         (17) Name of Witnesses Favorable to the Defendant. The defendant requests the name  
 4 of any witness who made an arguably favorable statement concerning the defendant or who  
 5 could not identify him or who was unsure of his identity or participation in the crime charged.  
 6 Jackson v. Wainwright, 390 F.2d 288 (5<sup>th</sup> Cir. 1968); Chavis v. North Carolina, 637 F.2d 213,  
 7 223 (4<sup>th</sup> Cir. 1980); Jones v. Jago, 575 F.2d 1164, 1168 (6<sup>th</sup> Cir.) cert. denied, 439 U.S. 883  
 8 (1978); Hudson v. Blackburn, 601 F.2d 785 (5<sup>th</sup> Cir. 1979), cert. denied, 444 U.S. 1086 (1980).

9         (18) Statements Relevant to the Defense. The defendant requests disclosure of any  
 10 statement that may be “relevant to any possible defense or contention” that he might assert.  
 11 United States v. Bailleaux, 685 F.2d 1105 (9<sup>th</sup> Cir. 1982).

12         (19) Jencks Act Material. The defense requests all material to which defendant is  
 13 entitled pursuant to the Jencks Act, 18 U.S.C. § 3500, reasonably in advance of trial, including  
 14 dispatch tapes. A verbal acknowledgment that “rough” notes constitute an accurate account of  
 15 the witness’ interview is sufficient for the report or notes to qualify as a statement under  
 16 §3500(e)(1). Campbell v. United States, 373 U.S. 487, 490-92 (1963). In United States v.  
 17 Boshell, 952 F.2d 1101 (9<sup>th</sup> Cir. 1991), the Ninth Circuit held that when an agent goes over  
 18 interview notes with the subject of the interview the notes are then subject to the Jencks Act.  
 19 The defense requests pre-trial production of Jencks material to expedite cross-examination and  
 20 to avoid lengthy recesses during trial.

21         (20) Giglio Information. Pursuant to Giglio v. United States, 405 U.S. 150 (1972), the  
 22 defendant requests all statements and/or promises, express or implied, made to any government  
 23 witnesses, in exchange for their testimony in this case, and all other information which could  
 24 arguably be used for the impeachment of any government witnesses.

1                   (21) Personnel Records of Government Officers Involved in the Arrest. The defendant  
2 requests all citizen complaints and other related internal affairs documents involving any of the  
3 FBI agents, police officers or other law enforcement officers who were involved in the  
4 investigation, arrest and interrogation of him, pursuant to Pitchess v. Superior Court, 11 Cal. 3d  
5 531, 539 (1974). Because of the sensitive nature of these documents, defense counsel will not  
6 be able to procure them from any other source.

7                   (22) Government Examination of Law Enforcement Personnel Files. Mr. Bautista  
8 requests that the government examine the personnel files and any other files within its custody,  
9 care or control, or which could be obtained by the government, for all testifying witnesses,  
10 including testifying officers. Mr. Bautista requests that these files be reviewed by the  
11 government attorney for evidence of perjurious conduct or other like dishonesty, or any other  
12 material relevant to impeachment, or any information that is exculpatory, pursuant to its duty  
13 under United States v. Henthorn, 931 F.2d 29 (9<sup>th</sup> Cir. 1991). The obligation to examine files  
14 arises by virtue of the defense making a demand for their review: the Ninth Circuit in Henthorn  
15 remanded for in camera review of the agents' files because the government failed to examine  
16 the files of agents who testified at trial. This Court should therefore order the government to  
17 review all such files for all testifying witnesses and turn over any material relevant to  
18 impeachment or that is exculpatory to Mr. Bautista prior to trial. Mr. Bautista specifically  
19 requests that the prosecutor, not the law enforcement officer, review the files in this case. The  
20 duty to review the files, under Henthorn, should be the prosecutor's and not the officers'. Only  
21 the prosecutor has the legal knowledge and ethical obligations to fully comply with this request.  
22

## **CONCLUSION**

For the foregoing reasons, Mr. Bautista respectfully requests that this Court grant this motion.

Respectfully submitted,

Dated: August 13, 2008

/s/ Stephen W. Peterson

STEPHEN W. PETERSON  
Attorney for Defendant  
EMMANUEL BAUTISTA

### Certification of Service

18 I, Stephen W. Peterson, certify that on August 13, 2008, I served this Defendant's  
19 Motion to Compel Discovery on Assistant U.S. Attorney, David D. Leshner, by electronic  
20 means.

Dated: August 13, 2008

/s/ Stephen W. Peterson  
Stephen W. Peterson  
Declarant